

**Application for (Please check mark the type of bond you are applying for)**

- NVOCC Bond   
  OFF Bond (FMC/OTI/FF)   
  Custodian Bond (C2) (Trucking)   
  International Carrier Bond (AMS/ICB Bond / C3)   
  Public Gauger Bond   
  Import Bond (\$60k & Up) (Continuous)
- FTZ/C4 Bond Foreign Trade Zone   
  VOCC Bond   
  China Rider Bond (MOC Bond)   
  Foreigners NVOCC Bond (Applying for USA NVOCC)   
  IIT / 3a Bond (Instruments of International Traffic)   
  Airport Security Bond (\$60k & Up) (ASB / AirPort Custom Security Area Bond)

I Business Information			
Description:	Bond Amount:	Effective Date:	Bond Term:
Type Name exactly as it is to appear on the bond (Applicant):			
Applicant's Business Address:			
Are there any other locations? <input type="checkbox"/> Yes <input type="checkbox"/> No If Yes, please attach a complete listing of all addresses			
Applicant's Federal Tax ID:			
Phone:	Email Address:		
Applicant is (select one) <input type="checkbox"/> Individual <input type="checkbox"/> Partnership <input type="checkbox"/> C-Corp <input type="checkbox"/> S-Corp <input type="checkbox"/> LLC			
Date Business Commenced:		Number of years in Business:	
Applicant's Business Description or Latest Occupation:			
Annual Gross Revenue for Most Recent Fiscal Year End (Amount / Year End):			
Net Worth as of Most Recent Fiscal Year End (Amount / Year End):			
Number of Employees:			
Principal Owner(s)' Name and address (if Partnership or LLC, list all owners and addresses on separate sheet)			
Are you a U.S. Citizen? <input type="checkbox"/> Yes <input type="checkbox"/> No			
Spouse Name and address:		Are you a U.S. Citizen? <input type="checkbox"/> Yes <input type="checkbox"/> No	
How is your credit? <input type="checkbox"/> Excellent <input type="checkbox"/> Good <input type="checkbox"/> Challenged <input type="checkbox"/> I am not sure			

II Bond Information	
<b>Underwriting Questions</b>	
Does applicant have any other Surety Bonds in force with any other Surety Company?	<input type="checkbox"/> Yes <input type="checkbox"/> No
Has applicant ever had an application for a bond declined?	<input type="checkbox"/> Yes <input type="checkbox"/> No
Has applicant ever had a bond involuntarily terminated or cancelled?	<input type="checkbox"/> Yes <input type="checkbox"/> No
Has applicant or affiliates been the subject of any legal or administrative proceedings resulting in disciplinary action?	<input type="checkbox"/> Yes <input type="checkbox"/> No
Has applicant ever caused a Surety to suffer a loss?	<input type="checkbox"/> Yes <input type="checkbox"/> No
Has there ever been a claim or legal action against any bond executed on applicant's behalf?	<input type="checkbox"/> Yes <input type="checkbox"/> No
Does applicant or any affiliates have any pending lawsuits, unsatisfied judgments or liens?	<input type="checkbox"/> Yes <input type="checkbox"/> No
Has applicant or affiliates ever declared bankruptcy or become insolvent?	<input type="checkbox"/> Yes <input type="checkbox"/> No
Has applicant continuously been in business under the current ownership for at least years?	<input type="checkbox"/> Yes <input type="checkbox"/> No
If the Applicant is a business, has it been in business at the same location for at least 3 years?	<input type="checkbox"/> Yes <input type="checkbox"/> No
If the Applicant is an individual, have you resided at your current address for at least 3 years?	<input type="checkbox"/> Yes <input type="checkbox"/> No
Do you carry any insurance that affirmatively responds to the bonded obligation?	<input type="checkbox"/> Yes <input type="checkbox"/> No
If yes, provide details _____	
Have you ever been convicted of a felony?	<input type="checkbox"/> Yes <input type="checkbox"/> No
Does the bond guarantee coverage for more than one year?	<input type="checkbox"/> Yes <input type="checkbox"/> No
<b>If you answered YES to any of the above questions, please attach a detailed explanation</b>	
Do all owners who are individuals own a home?	<input type="checkbox"/> Yes <input type="checkbox"/> No
Has your company ever been previously issued a U.S. Custom Import Bond? If so:	
What is the US custom bond # on your most recent U.S. Custom Import Bond? _____	
What are the effective and expiration dates on your most recent U.S. Custom Import Bond? _____	
Was this U.S. Custom Import Bond Terminated? If so, why? _____	
Have you ever imported merchandise subject to Anti-Dumping Duties? If so, please describe this merchandise? _____	
Is any of the merchandise listed on this U.S. Custom Import Bond application subject to Anti-Dumping Duties? If so, please describe this merchandise? _____	

**III. Principals of Applicant**

Name & Title	DOB	SSN	Spouse Name	% Owned	Yrs w/Applicant	Yrs in Occupation

**IV. Business Management**

Does Applicant prepare any of the following (please check where applicable):

- Monthly Balance Sheet
- Monthly Profit and Loss Statement
- Quarterly Cash Flow Analysis
- Create and Monitor a Fiscal Budget

**V. Information Requirements**

- \_\_\_\_\_ Last three (3) CPA Fiscal Year End Statements
- \_\_\_\_\_ Most recent in house balance sheet and profit and loss statement
- \_\_\_\_\_ Copy of latest Federal Tax for applicant
- \_\_\_\_\_ Current Personal Financial Statement and most recent federal tax return
- \_\_\_\_\_ Current Bank Line of Credit
- \_\_\_\_\_ If there is a current FMC/NVOCC bond in effect, attach a copy of that bond with all amendments and explain why you are switching sureties \_\_\_\_\_

**Important Information—PLEASE READ**

1. All bonds must be paid in full before the release of the bond unless other arrangements have been made.
2. Applicant and all owners of applicant must sign General Indemnity Agreement and have signatures notarized.
3. All bonds / bond approval is subject to final underwriting approval.

ANY PERSON WHO KNOWINGLY AND WITH INTENT TO DEFRAUD ANY INSURANCE COMPANY OR OTHER PERSON FILES AN APPLICATION FOR INSURANCE CONTAINING ANY FALSE INFORMATION OR CONCEALS FOR THE PURPOSE OF MISLEADING INFORMATION CONCERNING ANY FACT MATERIAL THERETO COMMITS A FRAUDULENT INSURANCE ACT, WHICH IS CRIME AND SUBJECTS SUCH PERSON TO CRIMINAL AND CIVIL PENALTIES.

Signature \_\_\_\_\_ Date: \_\_\_\_\_

Print Name and title here: \_\_\_\_\_

**Personal Financial Statement – All Owners with 10% or more ownership must complete the following:**

\*NOTE: This form to be used for Personal Financial statements only, NOT TO BE USED FOR BUSINESS STATEMENTS.

Personal Financial Statement of: \_\_\_\_\_ SSN: \_\_\_\_\_

Address / City / state / Zip: \_\_\_\_\_

Home Phone #: \_\_\_\_\_ Business Phone #: \_\_\_\_\_

Name of Spouse: \_\_\_\_\_

As of (date): \_\_\_\_\_

**\*Information Required**

CURRENT ASSETS – (Own)		CURRENT LIABILITES – (Owe)	
Cash on hand (Not in Bank)	\$	Notes Payable to	\$
Cash in following banks	\$	Sales Contracts & Chattel Mortgages	\$
Stocks & Bonds	\$	Accounts Payable	\$
Accounts Receivable	\$	Currents portion of long term debt	\$
Notes Receivable	\$	Other current liabilities	\$
IRA or Retirement Acct	\$	Current year income taxes unpaid	\$
Total Current Assets	\$	Real Estate taxes unpaid	\$
Fixed Assets	\$	Total Current Liabilities	\$
Real Estate- Residential	\$	Long Term Liabilities	\$
Real Estate- Other	\$	Real Estate debt- Residential	\$
Cash vale of life Insurance	\$	Real Estate debt – Other	\$
Other assets & Investments	\$	Borrowed on Life Insurance	\$
		Other Long Term Debt	\$
		Total Long Term Liabilities	\$
Total Fixed Assets	\$	Net Worth	\$
Total Assets	\$	Total Liabilities & Net Worth	\$

**Contingent Liabilities:**

ENDORSEMENTS OR GUARNTees \$ \_\_\_\_\_ FOR OTHER PURPOSES \$ \_\_\_\_\_

Give details:

X

**Signature**

(the above information is accurate and true as of the date indicated on the page)

**Date**

I AUTHORIZE SURETY TO MAKE INQUIRIES AS NECESSARY CONCERNING OR PERTAINING TO THE UNDERSIGNED'S FINANCIAL STANDING CREDIT, CHARACTER OR MANNER OF MEETING OBLIGATIONS TO VERIFY THE ACCURACY OF THE STATEMENT MADE AND TO DETERMINE MY CREDIT WORTHINESS, I CERTIFY THE ABOVE AND THE STATEMENTS CONTAINED IN THE ATTACHMENTS ARE TRUE AND ACCURATE AS OF THE STATED DATE(S) A COPY OF THIS AGREEMENT SHALL BE CONSIDERED THE SAME AS THE ORIGINAL. THIS AUTHORIZATION IS TO REMAIN IN FULL FORCE UNTIL RESCINDED BY THE APPLICATION IN WRITING. THESE STATEMENTS ARE MADE FOR THE SOLE PURPOSE OF OBTAINING A BOND I UNDERSTAND FALSE STATEMENTS MAY RESULT IN FORFEITURE OF BENEFITS AND POSSIBLE PROSECUTION "ANY PERSON WHO KNOWINGLY AND WITH INTENT TO INJURE, DEFRAUD, OR DECEIVE AND INSURER FILES A STATEMENT OF CLAIM OR AN APPLICATION, FALSE OR MISLEADING INFORMATION MAY BE FOUND GUILTY OF A CRIMINAL AND OR CIVIL OFFENSE".

If you are applying for Airport Security Bond / C1 Bond / Activity One Bond / Airport Custom Security Area Bond / A1 Bond / ASB: Any company, entity or person or individual that works around or in an CBP controlled international airport and has access to Custom airport security areas must be bonded. The company, entity, or individual must purchased an Airport Security Bond aka Activity One Bond. The minimum bond amount set by CBP is \$50,000, (NOTE: this application is for \$60,000 and up) however CBP Port Directories at the international airport has the discretion to raise the bond amount or set new bond amount limits at anytime. The Airport Security Bond / ASB will be issued by the CBP in CBP-301 Form, the bond is for the usage by the company, entity or individual and is not considered an Custom Import Bond, the company, if the company, entity or individual uses this ASB to import commodity from any foreign country, this action will be a violation of the 19 CFR 113.62(i) and CBP has the authority to terminate immediately.

US Custom / FMC Use Only \_\_\_\_\_

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**How Did You Hear About Us:**     TV     Radio     eBay     Amazon     Newspaper     Web     Friend  
\_\_\_\_\_ Other